

3763 B

PTO/SB/21 (09-04)

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/497,620
	Filing Date	February 3, 2000
	First Named Inventor	Douglas E. Ott
	Art Unit	3763
	Examiner Name	M. Thompson
Total Number of Pages in This Submission	Attorney Docket Number	1-15368

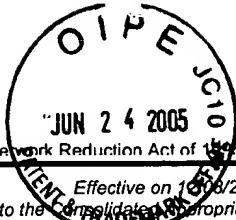
ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
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<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	Marshall & Melhorn, LLC	
Signature		
Printed name	D. Edward Dolgorukov	
Date	6/22/05	Reg. No. 26,266

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:		
Signature		
Typed or printed name	D. Edward Dolgorukov	Date 6/22/05

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Effective on 12/8/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

### Complete if Known

Application Number	09/497,620
Filing Date	February 3, 2000
First Named Inventor	Douglas E. Ott
Examiner Name	M. Thompson
Art Unit	3763
Attorney Docket No.	1-15368

### METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 13-1816 Deposit Account Name: Marshall & Melhorn, LLC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below

☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17

☒ Credit any overpayments

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### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

#### 2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
<b>Total Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
- 20 or HP = _____	x _____	= _____
HP = highest number of total claims paid for, if greater than 20.		
<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
- 3 or HP = _____	x _____	= _____
HP = highest number of independent claims paid for, if greater than 3.		

Fee (\$)	Small Entity Fee (\$)
50	25
200	100
360	180
<b>Multiple Dependent Claims</b>	
<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____ - 100 = _____	/ 50 = _____	(round up to a whole number) x _____	= _____	

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Information Disclosure Statement

Fees Paid (\$)

\$180.00

#### SUBMITTED BY

Signature	<u>D. Edward Dolgorukov</u>	Registration No. 26,266	Telephone 419-249-7146
Name (Print/Type)	D. Edward Dolgorukov	Attorney/Agent	Date <u>6/22/05</u>

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 3

**Complete if Known**

Application Number	09/497,620
Filing Date	February 3, 2000
First Named Inventor	Douglas E. Ott
Art Unit	3763
Examiner Name	M. Thompson
Attorney Docket Number	1-15368

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 4,770,168	09-13-1988	Rusz, et al.	
		US- 6,814,714	11-09-2004	Novak, et al.	
		US- 4,215,681	08-05-1980	Zalkin, et al.	
		US- 4,401,114	08-30-1983	Lwoff, et al.	
		US- 5,062,145	10-29-1991	Zwaan, et al.	
		US- 4,369,777	01-25-1983	Lwoff, et al.	
		US- 4,674,494	06-23-1987	Wiencek	
		US- 5,482,031	01-09-1996	Lambert	
		US- 4,360,017	11-23-1982	Barlett	
		US- 2,408,136	09-24-1946	Fox	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO 94/28952	12-22-1994	Novak (US Equivalent 6,814,714)		
		DE 28 34 622	02-22-1979	Lwoff, et al. (US 4,401,114)		
		DE 39 32 766 A1	04-05-1990	Zwaan, et al.		
		DE 34 30 541 A1	07-04-1985	Stiegler, et al		
		DE 28 10 325 A1	09-20-1979			
		DE 31 39 135 A1	10-21-1982	Lwoff, et al. (US 4,369,777)		

Examiner  
SignatureDate  
Considered

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

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Substitute for form 1449/PTO

**(Use as many sheets as necessary)**

Sheet

2

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3

**Complete if Known**

Application Number

09/497.620

Filing Date

February 3, 2000

**First Named Inventor**

Douglas E. Ott

## Art Unit

3763

**Examiner Name**

M. Thompson
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Attorney Docket Number

1-15368
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		DE 36 15 611 C2	11-13-1986	Wiencek (US Equivalent)	4,674,494	
		DE 39 27 594 A1	06-28-1990	Jehmilch		
		EP 0 533 644 B1	12-04-1996	Lambert	(US 5,482,031)	
		EP 0 169 151 B1	01-22-1986	Dittmar, et al.	(US 4,825,863)	
		EP 0 387 220 B2	01-25-1995	Lambert	(US 5,042,468)	

**Examiner  
Signature**

Date \_\_\_\_\_

**Considered**

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STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	09/497,620
Filing Date	February 3, 2000
First Named Inventor	Douglas E. Ott
Art Unit	3763
Examiner Name	M. Thompson
Attorney Docket Number	1-15368

Sheet 3 of 3

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		KONINCKX & VANDERMEERSCH, <i>The Persufflator: An insufflation device for laparoscopy and especially for CO<sub>2</sub>-laser-endoscopic surgery</i> , Human Reproduction, Vol. 6, No. 9, pp. 1288-1290.	
		KARRER, W., <i>Pillars of therapy of chronic obstructive bronchitis</i> , Schweiz Rundsch Med Prax. 1989 Feb. 7; 78(6):121-5, Germany.	
		SIEDE & SCHNEIDER, <i>Handbook and Atlas of Laparoscopy</i> , pub. J.F. Lehmanns Verlag, Munich, Germany, 1962, pp.19-20. & English Translation	

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Considered

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